

**Discover 20 amazing Basel III Jobs
(and what it takes to get hired)**

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BiiiCPA

Basel iii Compliance Professionals Association (BiiiCPA)

HQ: 1220 N. Market Street Suite 804, Wilmington, DE 19801, USA

Mail: 1200 G Street NW Suite 800, Washington, DC 20005, USA

Lyn Spooner: (302) 342-8828

Email: lyn@basel-iii-association.com

George Lekatis: (202) 449-9750

Email: lekatis@basel-iii-association.com

The Basel iii Compliance Professionals Association (BiiiCPA) is the largest association of Basel iii Professionals in the world. It is a business unit of the Basel ii Compliance Professionals Association (BCPA), which is the largest association of Basel ii Professionals in the world.

Job Description 1

Title: Director of Finance, Basel 3 Implementation

Leading International Financial Services firm

New York, USA

\$150,000 - \$180,000 a year

Job Summary/Introduction

The client is recruiting a number of positions relating to Basel 3 Pro Forma implementation.

We are recruiting subject matter experts in Basel 3. Thorough understanding of CRD4

Overview:

The Enhanced Prudential Standards for Foreign Banking Organizations (FBOs) by the U.S. regulators require DB to establish a U.S. Intermediate Holding Company (US IHC) that **will be subject to U.S. prudential supervision including the U.S. Basel III regulatory capital rules.**

As part of the implementation of US Basel III, the Bank must conduct an **ongoing impact analysis** on the IHC as well as pro forma calculations throughout 2014.

The Pro forma exercise is intended to be a new monthly process to support tactical planning, in advance of the strategic US Basel III implementation at the end of 2014.

The initial Pro forma calculation of required US IHC capital was completed in Nov-2013 **with much manual effort and limited IT assistance.**

The business **seeks to automate** the pro forma process by system enhancements where achievable and effective and execute it in a dedicated environment on a monthly basis at minimum.

Candidate's Profile

Experience with Basel 3 in another international bank

Skills:

- **Strong analytical skills and the ability to use them to analyze complex data structures**
- **Strong focus on internal controls and integrity of data**
- **Experience in financial and regulatory systems architecture**
- **Strong team work and communication skills, commitment to meeting deadlines**
- **Ability to work across multiple functional areas**
- **Strong communication skills (verbal and written) and awareness of need for appropriate & timely escalation**

Job Description 2

Title: Senior Officer - Basel & Risk Analytics

Job Description

A leading **Kuwaiti Commercial Bank** is looking for Sr. Officer - Basel & Risk Analytics as per the following details:

Responsible for managing the development and update of **Group-wide and Kuwait Basel and Risk /capital-related models**.

This includes **ICAAP and stress testing** as well as other economic capital related models and metrics.

This function also provides **oversight of local implementations of Basel and other risk models** to ensure **consistency across all Group companies**.

Given the technical background of this role, it is expected that the role holder will support **market, liquidity, and operational risk related models as needed**.

The role holder is expected to work jointly and as a backup for both Manager, Group Risk Projects & Implementation and Manager, Enterprise Risk Data & Systems.

Key Responsibilities:

- Manage **ICAAP process and generate ICAAP submission document** as per CBK requirements
- Review subsidiary ICAAP submissions as required and create **consolidated ICAAP** results
- Perform Kuwait and group-wide stress testing and review subsidiary **stress tests** as required
- Develop and maintain any economic capital models both part of and separate from Basel/ICAAP models
- Manage **credit model (eg, Moody's) calibration for models across products and geographies**. This includes ORR and FRR models as required

- **Reviewing other local implementations of risk models across subsidiaries**
- **Develop and maintain models and methodologies for risk-adjusted performance metrics (eg, RAROC, EVA) across the Group**
- **Develop documentation and provide training of models**
- **Provide support to other risk functions for market, investment, and operational risk models as required.**
- **Support management of Basel program for the Group**
- **Provide Basel and risk subject matter support to subsidiaries**

Educational Degree and Certifications:

B.S. in Economics or Finance. Masters or PhD in quantitative finance preferred PRM, FRM, [CBiiPro](#) / [CBiiiPro](#)

Computer Skills:

**Microsoft Office, VBA - Expert
Simulation tools (@Risk, Crystal Ball) - Proficient**

Degree Specifications

**Graduate - BA in Finance
Graduate - BA in Economics**

Job Description 3

Title: VP - Market Risk Program Manager

**Banking, New York City
\$150,000 - \$180,000 a year
Executive Management**

Job Description

Within Global Technology Infrastructure, the Risk, Treasury, Legal, Compliance and Corporate Security Infrastructure division acts as technology partner to the Risk business divisions, defining overall IT strategy and providing solutions to allow the Risk department to manage all aspects of the firm's risk profile.

The Risk Management Domain covers a large portfolio of initiatives primarily sponsored by the Risk department.

Within this department, the firm requires a **lead Project Manager for Program Management support for the critical regulatory focused initiative of US Basel III.**

This person will be responsible for the execution of the set of projects that have been identified as **necessary for compliance with Basel III.**

This person will work **with a team of PM's each responsible for one or more of these projects.**

This person will be responsible for ensuring that all projects are on track and properly executed.

This role requires an **intimate understanding of market risk, investment banking, and how technology projects are executed in this environment.**

This is an exciting opportunity for a high performing individual who wants to own both future strategy of the Market Risk platform and be responsible for delivery to that strategy.

This individual will face off to senior Business Sponsors and Stakeholders.

Responsibilities:

- Leverage / matrix-manage **IT teams across multiple functions to unified delivery plan**
- Complete release cycle planning including articulating development estimates to the business
- Provide day to day **program management** ensuring that deliveries are met to plan
- Organization around administration for weekly reports & tracking overall progress of the program
- Chair & run a monthly Operating Committee of Stakeholders
- Financial Management to ensure **program remains on budget**
- Work with Application Services to introduce Agile/Scrum methodology onto the Platform to maintain both momentum and client interaction through the SDLC
- Face off to Production Support personnel around issues, risks, production problems, product direction and complexity understanding to help production scale support team appropriately
- Lead strategic IT articulation & decision making around data models to address implementation

Education/Qualifications:

Preferred: Educated to degree level

Preferred: PMP or equivalent accreditation

Preferred: Understanding of Agile/Scrum methodology

Essential Skills:

Ability to face off to, influence and engage senior (Director Level) stakeholders on the Business side

Ability to interpret new regulations and partner with business to drive

requirements identification

Understanding of the Market Risk business in an Investment Bank

Full understanding of the SDLC

Excellent oral and written communication skills

Motivated to 'get the job done'

Structured in approach and well organized

Proficient using MS Office software (PowerPoint, Excel, Visio, Project)

Financial planning and tracking for programs up to €5m

Experience Required:

5 years+ managing projects/programs

3 years+ managing complex programs

5 years+ within the IT Industry, preferably in Investment Banking IT

Managed / worked with offshore based development teams

Experience in matrix management & influencing others

Preferred: Proven experience working in an Investment Bank, in particular in the support of the workout and restructuring processes within the Market Risk business

Job Description 4

Title: Liquidity Regulatory Analyst, LCR, NSFR, Basel III, ALM
£500-£650 per day, contract

This senior analyst position will be working within the **Group Liquidity Regulatory Reporting team** to determine and document the functional changes required to support the new regulatory reporting required under FSB Phase II, and **Basel 3 Liquidity Monitoring Metrics**.

Job Description:

Key responsibility for key **Basel III liquidity ratio calculations (LCR and NSFR)**, internal as well as PRA (BIPRU) regulatory liquidity risk reporting.

The analyst will also be involved with the **Basel III Liquidity Reporting systems implementation project to automate** the Liquidity Coverage Ratio (LCR) calculation.

An understanding of both financial and management reporting is required, and the analyst should have the ability to articulate business requirements to technical teams to ensure they build the automated systems solution accurately.

Key Responsibilities

The job responsibilities of the Reporting analyst will primarily be to provide **high quality research and analysis for liquidity risk management**.

This will include analysis of **liquidity risk metrics, support for development of new systems and liquidity risk reporting on Group wide basis for internal and external purposes**.

Production of balance sheet liquidity analysis for presentation to the Group Liquidity Management Committee

Assist in maintaining, updating and applying liquidity risk policies, practice guides and governance across the Group to **align existing liquidity reporting capabilities to Basel III regulatory requirements**

Work with Lead Functional BA and Business architect to document data architecture and support production of data mapping documentation

(including data profiling and lineage).

Define reconciliation requirements

Required Skills

Business Analyst experience

Experience in Basel III and / or PRA BIPRU regulatory liquidity reporting requirements.

Excellent interpersonal and communication skills to disseminate ideas to multiple teams and interact with senior stakeholders

Enthusiasm, drive and ability to work in a very fast paced high performance team.

Job Description 5

Title: Credit Risk Management Project Manager/Business Analyst

Deutsche Bank, New York, USA

\$180,000 per year

Skills: Basel III, Dodd-Frank OTCD, Volcker rule, CCAR, EBA Stress Testing

Deutsche Bank

A Passion to Perform. It's what drives us. More than a claim, this describes the way we do business.

We're committed to being the **best financial services provider in the world, balancing passion with precision to deliver superior solutions for our clients.**

This is made possible by our people: agile minds, able to see beyond the obvious and act effectively in an ever-changing global business landscape.

As you'll discover, our culture supports this. Diverse, international and shaped by a variety of different perspectives, we're driven by a shared sense of purpose.

At every level agile thinking is nurtured. And at every level agile minds are rewarded with competitive pay, support and opportunities to excel.

Risk

In an ever-changing financial landscape, **the role of risk management has never been more important – or the people who work there more influential.**

Our vision is to be a **strong, independent, world-class risk management function.**

We look to support the Bank's strategies whilst protecting its capital and regulatory adherence.

Develop your career here, and you'll be at the heart of a global financial

institution that values your ideas and champions your career development.

We take a holistic approach to managing risk and return to effectively manage our risk, capital and reputation.

This supports our ability to adapt to an increasingly complex operating environment and further enhances our strong risk culture.

Our aim is to identify, aggregate, manage and mitigate risk across all our core activities in risk management.

We're closely aligned to our business and infrastructure partners, ensuring that together we achieve the Bank's aspiration to be the leading client-centric global universal bank.

Credit Risk Management

The Credit Risk Management (CRM) function provides structuring advice and credit approval on transactions, manages the capital and credit exposure of Deutsche Bank's portfolios, actively ensures the recovery of impaired loans and makes sure that the global risk and management reporting systems are timely and accurate.

The Americas Chief Credit Office (CCO) controls DB's credit risk taking in the Americas legal entities across all business lines.

It represents CRM in regional management committees, DB legal entity-level committees and governance bodies.

Other activities include the implementation of CRM legal entity governance, initiatives to define the credit risk appetite for legal entity portfolios and the monitoring of portfolios.

Furthermore, the Americas CCO acts as the key CRM liaison for U.S. regulators and drives initiatives to ensure compliance with existing and upcoming regulatory requirements applicable to CRM.

In this function, the Americas CCO closely cooperates with other infrastructure and business functions across the organization.

Responsibilities will include the following:

- **Evaluate requirements of Enhanced Prudential Standards for Foreign Banking Organizations (FBO-EPS) for Credit Risk**
- **Analyze deliverables necessary for CRM as a whole and work with relevant Credit Risk Officers in order to meet those requirements**
- **Lead & drive execution of “Change-the-Bank” & “Run-the-Bank” initiatives required by CRM including:**
 - **Perform independent (ad-hoc) analyses to facilitate decision making**
 - **Agree to & set timelines with business, support areas and program offices**
 - **Track deliverables against timelines**
 - **Communicate process to senior management within CRM, Risk and program offices**
 - **Coordinate the planning, rollout and production of new reports, processes and procedures**
 - **Perform FBO-EPO process related gap analyses identify shortcomings and provide recommendations for remediation to CRM senior management**
 - **Coordinate and facilitate cross-divisional and cross-regional efforts in order to leverage existing processes and frameworks**
 - **Support process documentation and implementation of new processes or guidelines**
 - **Facilitate & lead update discussions with US regulators on FBO-EPS progress**

Essential skills

- **In-depth knowledge and/or experience of working in a Credit Risk Management environment**
- **Business Analysis background required with experience gathering,**

analyzing and documenting requirements.

- **Experience implementing or solid knowledge of DFAST / CCAR / US [Basel III](#) or other regulatory initiatives for Credit Risk**
- **Experience [working with IT teams](#) to drive and support the right scoping and delivery of enhancements and new features**
- **Strong written and verbal communication skills**
- **Structured approach and well organized**
- **Proactive, problem solving and solution oriented**
- **Ability to build cross-divisional as well as cross-regional relationships**

[Preferred skills](#)

- **Experience working in a large financial institution**
- **Team work and leadership skills**
- **Excellent influencing skills**
- **Project Management background and experience leading projects**
- **Demonstrate ability to [quickly assimilate new knowledge](#)**
- **Proficient using MS Office software (PowerPoint, Excel)**
- **Demonstrate [in-depth technical capabilities in risk management and finance](#)**
- **Motivated to 'get the job done'**

[Qualifications](#)

- **Solid undergraduate degree related to finance, economics, accounting, engineering or related discipline**
- **Minimum of 7+ years of relevant experience in the financial services industry**

Industry Experience:

Candidates should have experience in at least one or more of the areas below:

- **Market risk management:** Market risk capital determination, Stress Testing, VaR, product valuation
- **Counterparty credit risk management:** Credit risk capital determination, CVA, CVaR, PFE, EL / UL, LGD, EAD, credit risk mitigation, internal counterparty credit ratings
- **Product control:** limits management, confirmation process, contracts management
- **Regulatory compliance:** Basel III, Dodd-Frank OTCD, Volcker rule, CCAR, EBA Stress Testing, Enhanced Prudential Standards for Foreign Banking Organizations
- **Finance controlling:** Accounting, IFRS, US GAAP, Balance Sheet & Resource Management, RWA, Financial Planning

Job Description 6

Title: Manager - Corporate Regulatory Reporting

\$170,000 - \$236,000 a year

Wells Fargo, CA, USA

A **Wells Fargo's** Corporate Controllers is seeking highly motivated individual to join our **Regulatory Reporting Quality Assurance (RRQA) team** to contribute to the Company's efforts in developing and maintaining a program to **test accuracy and completeness of external regulatory filings**.

Regulatory Reporting Quality Assurance is a control function within Wells Fargo's Corporate Controllers division and serves as a critical component of our Governance & Oversight processes over Regulatory Reporting.

The team has **enterprise-wide exposure** to lines of business and the external financial reporting infrastructure.

This individual will be responsible for developing and implementing a testing program and approach for a specific set of external reports and processes that relate to reporting capital and related information.

Specific Responsibilities:

- Developing a quality assurance testing program and approach relative to the reporting aspects of instrument level data-driven **reports (e.g., FFIEC 101, CCAR, Pillar III Disclosure, Liquidity Disclosure, and FR 14 M/Q)**, utilizing our established **RRQA methodology and protocols**
- Assisting with hiring, developing and managing a team to **execute testing on an ongoing basis**
- Partnering with **report owners and data providers** to understand the environment and related initiatives and develop appropriate and complementary testing procedures
- Consult with **issue owners** as they complete comprehensive root cause analysis and remediation plans for issues identified
- Develop **written reports of findings**
- Develop **annual testing plan** and manage planning, testing, reporting,

and communications within established timeframes

- **Communicate findings** to senior level stakeholders
- **Participating in other related Corporate Controllers projects as needed**

The successful candidate will be a highly motivated team player and a self-starter who is accustomed to working in complex organizations, partnering with a diverse group of stakeholders, and achieving results.

Additionally, the candidate should possess good professional presence and be accustomed to presenting material to senior executives.

The candidate should have experience with credit, market, and operational risk data and processes, as well experience preparing or testing regulatory reports.

The candidate should be flexible, be able to withstand challenges and adapt accordingly.

Basic Qualifications

7+ years of experience in one of more of the following areas: accounting consulting or public accounting and 3+ year of management experience

Minimum Qualifications

- **Experience with bank regulatory reporting** requirements, including working knowledge of the FR Y-9C, FFIEC 031/041, and FFIEC 101
- **Strong written and verbal communication skills including a demonstrated ability to communicate effectively with a wide range of constituents at all levels of the organization**
- **Strong organization and prioritization skills**
- **Strong analytical skills with a high level of accuracy**

Preferred Skills

- **Strong auditing experience**
- **Strong Basel I-III experience**

- **Big 4 experience**
- **Preparation or testing/auditing of regulatory reports**
- **Experience with large financial institutions**
- **BA/BS in accounting**
- **CPA, CISA or CIA**

**Wells Fargo is an Affirmative Action, Equal Opportunity Employer
Minority/Female/Disabled/Veteran.**

Job Description 7

Title: Project Manager- French speaking

Leading investment bank, London

£55,000 - £75,000 per annum + benefits

A leading investment bank are looking for an experienced Project Manager who is fluent in French to **take charge of cross -functional projects** and change management on the CIB in coherence with the development plan, enhancement of processes and reorganisation.

Key expectations will be accompany all the initiatives of development of the CIB by preparing the new products and new activities committees and by coordinating the participation and the contribution of different Business Lines to these.

The successful candidate will have **strong project management skills**, and Front to back office understanding and be able to engage with all levels.

They will be working on a range of projects and will need to ensure change management, help business lines in organizational projects and implement processes' optimization and securing.

You will need to increase efficiency of the process wherever possible and ensure and efficient and controlled work flow between FO and BO on any new products or new activities to be launched by capital markets as well as Financing activities.

To succeed in this role, the successful candidate will need:

* Strong knowledge of CIB products (and mainly Capital Markets activities) and regulatory environment (**Basel 3, IAS, CRD IV ...**)

* Experience in project Management

* Ability to work under pressure and to prioritize

* High commitment and rigor

* **Ability to work in a matrix organization**

* Ability to dialogue with Front Offices and Support Functions.

* **Fluent in English & French**

Job Description 8

Title: Quant Developer C++

Investment Banking, City of London

£75,000 - £95,000 per annum + Benefits

Overview

A C++ Quant Developer is being sought by our client, a top investment bank in the UK, to join a team of Quant developers within the Front Office Analytics team.

C++ Quant Development teams work on financial estimation and simulation trade models for the bank, which can be used to direct the Bank's trading strategy moving forward.

The C++ Quant Developer will be responsible for defining, testing and executing simulation models within the rates, credit and FX areas.

This will require knowledge of C++ and its use in optimising various valuations including swaps / cds etc.

As a C++ Quant Developer you will be expected to have a high level of experience already but also possess the ability to learn new tools and techniques very quickly.

It would be a great benefit if you possess experience of full life-cycle project delivery with Basel II or III.

Essential skills & experience

- A high level of quantitative C++ development skills**
- Experience of real time high performance development, optimisation and integration**
- Strong core knowledge of data modelling principles within banking (Basel II)**
- Exceptional analysis skills in order to interpret the simulations**
- A financial services background with FO (front office) experience**

Desired skills & experience

- **Java would be a benefit**
- **A mathematical or similar high-level degree**
- **Basel III knowledge**

Job Description 9

Title: Mgr. Audit - Treasury & Capital Planning

Global Corporation Position based in Stamford, CT or Charlotte, NC

Base Salary up to \$150k plus Bonus

This position will support Finance Internal Audit with the **planning and execution of risk assessment, auditing, and continuous monitoring** activities to provide an independent and objective assessment of the risk management practices, governance and controls over the Company's treasury and capital planning activities.

Contribute to the direction and focus of the Finance Internal Audit teams activities through active engagement in risk assessment, audit planning, and continuous monitoring processes.

Assess **inherent, control and residual risks** with expertise in treasury, interest rate risk, **liquidity risk, and capital adequacy**.

Draft audit plans, develop audit tests, and lead audit execution for all treasury and capital planning related processes.

Identify and assess the impact of control deficiencies.

Prepare high quality audit deliverables including planning memoranda, audit programs, working papers, drafts of audit issues, and audit reports.

Present audit results to various levels of Internal Audit leadership, as well as the business organization.

Ensure effective and efficient execution of audits in conformance with professional and departmental standards, budgets, and timelines.

Maintain **high awareness of economic, regulatory, and business risks** and issues, taking action to **assure required adjustments to risk assessments, audit plans, and audit programs**.

Maintain effective working relationship with key stakeholders.

Research relevant **banking, regulation, reporting, and operational topics**.

Act as subject matter advisor and technical resource for the team

Maintain technical subject matter internal audit competency through

ongoing professional development.

Qualifications

Bachelors degree with 7+ years financial services experience or, in lieu of Bachelor's Degree, a minimum of 10 years relevant finance, business or related field, or equivalent experience.

Currently holds or is actively pursuing CPA, CIA, FRM or other relevant professional designation.

Minimum 7 years combined internal audit, public accounting, bank examination (e.g. FRB, OCC, FDIC, etc.) or relevant banking industry experience.

In-depth knowledge of treasury and capital planning processes/methods including ALCO, liquidity and interest rate risk management; capital management; and stress testing.

Knowledge and understanding of the current BHC regulatory environment including Enhanced Prudential Supervision, Basel III, Dodd-Frank, and CCAR/DFAST.

Prior experience auditing, examining, or working in financial institution treasury, capital planning, and/or risk management .

Knowledge of regulatory, US GAAP, and SEC reporting requirements strongly preferred.

Must be willing to travel up to 20% of the time.

Job Description 10

Title: Regulatory Reporting - Product Analyst

Moody's Corporation - Singapore

Within our product management team, you will be participating to **Moody's Analytics Regulatory Reporting solution lifecycle.**

In that role, you will gather and consolidate **regulatory, clients and market requirements** in order to contribute to the development work of our solution working closely with the Research team and Regulatory Focus Group.

You will be in charge of analysing new and updated report regulations, specifying in detail reports specifications for the engineering team, and performing acceptance testing.

You will also develop a **broad knowledge on local Basel II / Basel III regulations and reports.**

You will interact with external clients and internal such as Sales, Professional Services, Client Services, Engineering and Program Management teams to implement the features, deliver, support, and improve our solution regarding Regulatory Reporting.

Key Responsibilities:

- Conduct Regulation Analysis (e.g. **Basel regulatory texts and reports**) and gather requirements for new functionalities
- Write report functional specification and mapping to guide engineering teams to develop new regulatory reports
- Contribute to the **prioritization of the development work**
- Develop expertise on the product to assist our Clients facing teams during implementation projects, provide level-2 support to customers in production and Sales teams during Proof-of-Concept activities.
- Develop expertise on the **local regulations and reports**
- Assist in report development and testing when required
- Participate in End-to-End testing activities (unitary testing, configuration, results and reports analysis, bug identification and

correction)

- **Actively participate in enhancing our knowledge database (e.g. documentation review, development of “how-to” entries, etc.)**
- **Ability to converse with Client IT associates and Moody’s Engineering to analyze and document issues and to convey corresponding solutions**
- **Provide training to sales, services and support teams on new report and system features**

Qualifications

The ideal candidate will have a Bachelor degree in IT and/or Finance and 3+ years of professional experience in software implementation projects (gained with either banks, software house, consulting firms or integrators) or product management.

You will be required to show:

- **Understanding of bank financial instruments**
- **Capability to participate in all phases of a software development project**
- **Strong oral and written communication skills with the ability to interact with business experts and IT**
- **Strong organizational skills and ability to meet deadlines**
- **Hands-on IT skills, in particular knowledge of Oracle PL/SQL**
- **Ability to investigate and solve problem technical issue**
- **A team player and ability to work as a team.**
- **Basel II/ Basel III Credit, Market or ALM/Liquidity Risk domain knowledge is a must.**
- **Experience in financial reports for local regulations (eg: MAS610) is a plus.**
- **Fermat / RiskAuthority product knowledge is a plus.**

- Language: Bilingual – English and Chinese is a must to deal with our clients; Japanese or French is a plus

- This position requires up to 10% travel to our clients' sites (APAC region).

Department/Team

Part of Moody's Analytics group, the ALC business unit builds and deploys fully integrated solutions on credit, market & liquidity risk software products.

Job Description 11

Title: Vendor Risk Manager

New York, USA

Salary to \$225k + bonus

Our client is a leading financial services firm based in New York City.

They have an immediate opening for a Vendor Risk Manager in their corporate headquarters.

The Sourcing and Vendor Management department is to **leverage the firm's spending centrally to obtain the lowest total cost with the highest value while managing risk.**

The **Sourcing and Vendor Management (SVM) governance role** will be responsible for working closely with the head of vendor risk/governance, sourcing managers, risk managers, and the management team to ensure a consistent streamlined SVM program is in place and adhered to across the firm.

Responsibilities:

- Conduct annual segmentation validation and develop inherent risk ratings (segment) for new vendors.
- Collaborate with assigned business areas (e.g., technology, market data, professional services, fund administration) and SVM to **identify and communicate key vendor risks.**

Develop strong relationships with key department heads (including regional managers) to ensure vendor risk is understood and managed appropriately.

- Ensure vendor due diligence questionnaires are appropriate to each deal; provide input to RFPs when necessary.
- Work with SVM Sourcing Managers or directly with business (non-SVM managed categories) to conduct due diligence efforts for Segment 1 and 2 vendors.

Provide risk expertise to review due diligence documentation.

Engage and coordinate input from Risk SMEs, as necessary.

Document results of due diligence, residual risks, and ensure risks are understood by Sourcing Manager and Business clients.

- **For accepted risks, ensure issues are well documented, accepted, and signed off by an authorized manager at contract signing.**
- **Address reported risk events, potential risk areas, and ensure these are appropriately mitigated by working closely with risk SMEs, Sourcing Managers, and Vendor Relationship Managers.**
- **Coordinate on-going annual risk reviews and controls assurance activity.**

Engage and coordinate input from Risk SMEs as necessary. Advise and support vendor managers on risks and control gaps.

- **Coordinate remediation activities, performing risk reviews and documenting actions taken.**
- **Monitor and perform detailed reviews of ongoing vendor management oversight and provide management information to appropriate stakeholder.**

Requirements:

- **5+ years of technology risk management experience with strong understanding of Vendor Risk Management in a financial services company.**

Also valuable to have experience in business continuity and operational risk.

- **Solid understanding of the banking industry's regulatory requirements for the managing of third parties (e.g., OCC, FFIEC, FSA, BASEL II/III).**
- **Working knowledge of third party contractual terms and conditions.**
- **Strong analytical skills.**
- **Strong interpersonal skills and ability to communicate effectively with business partners.**
- **Strong writing skills.**

- **Proven ability to manage issues through to resolution; skilled at making judgment calls.**
- **Ability to successfully multitask and complete difficult assignments within deadlines which may have short lead times.**
- **Ability to develop and maintain effective working relationships at all levels throughout the firm.**
- **BS/BA, preferably Risk Management focus or certificate and CRISC certification.**

Job Description 12

Title: Credit Risk Settlement - Business Data Analyst

New York, USA

This is a 9 month assignment

Main Duties:

The BA team are responsible for writing business specifications, understanding data and preparing discussion documents that drive change through the Risk Systems.

The role involves close interaction with senior risk managers, operations and IT on the development of requirements for change and their implementation.

Main duties and responsibilities include:

- Work with Senior Management to understand and translate Settlement Risk Requirements, with an important focus on data and its completeness**
- Understand and document the Business processes that the users wish to undertake**
- Understand and document the requirements, verify IT functional specifications and perform quantitative impact analysis and assist in UAT**
- Organise and lead meetings to gather requirements**
- Maintain up to date knowledge on risking methodologies, system infrastructure and capabilities and interdependencies in the Bank**
- Represent the team in various working groups and communicate timelines, progress and dependencies to other parties in the Bank**
- Work as a member of an effective team, contributing to ad hoc requests without losing focus on primary objectives**

Person Requirements:

- Strong data analysis skills within the Risk space**

- **Excellent analytical and problem solving skills**
- **Clear and effective verbal and written communication skills**
- **People management and interpersonal skills**
- **Proven ability to deliver high quality and timely project work**

Essential Experience

- **Strong understanding of Settlement Risk concepts**
- **Understanding of risk data, be that inbound/upstream or data created internally**
- **Business analysis for risk management systems (e.g. front office pricing platforms, Monte Carlo risk systems, or market risk VaR systems)**
- **Business analysis experience with an investment bank, consultancy or trading operation (e.g. trading arm of corporate, asset manager, hedge fund, etc)**
- **Understanding and experience in project lifecycle techniques**
- **Data analysis skills (Excel / Access / VBA / SQL)**
- **Desirable Experience**
- **Knowledge of Basel III regulations**
- **Knowledge of CCPs and Exchanges**
- **Experience of working in credit or market risk control environments**

Qualifications / Experience

- **Degree in quantitative discipline from a top institution or equivalent**
- **Post graduate degree in a numerate/quantitative subject preferred.**
- **Must have ability to multi task.**

Job Description 13

Title: Senior Associate(s), Advisory

KPMG, New York, USA

Complete financial risk management engagements related to key financial risks including foreign exchange, interest rate, credit, regulatory, commodity, treasury risks, and related operational controls.

Analyze and assess activities related to corporations or financial institutions including cash management, foreign exchange, investment, short-term borrowing, and pension fund management.

Review, validate, and develop value-at-risk, stress testing, extreme value theory, and dynamic financial analysis models.

Review derivative and capital market instrument pricing models.

Review operational risk management and internal control policies and procedures.

Develop and support risk management models for use in the production of deliverables.

Travel up to 60 percent of the time is required.

Work Schedule

40 hours per week, M-F (9:00 a.m.–5:00 p.m.)

Job Requirements

Bachelor's degree from an accredited college/university or foreign equivalent and two years in the offered position or in a related occupation.

Position also requires two years of experience in: pricing equity/index swaps, interest rate swaps, single name credit default swaps (CDS), index CDS, credit swaptions, interest rate swaptions, caps, floors, and options; regulatory risk management; valuation management; Basel III/liquidity management, capital management, and recovery resolution planning. Travel up to 60 percent of the time is required.

Qualified Applicants

If offered employment, must have legal right to work in the U.S. EOE. KPMG offers a comprehensive compensation and benefits package. No phone calls or agencies please.

KPMG Affirmative Action, Equal Opportunity Employer, Minority/Female/Disability/Veteran. KPMG maintains a drug-free workplace.

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Job Description 14

Title: Lead Consultant- US

Infosys, AZ, USA

This position may require travel.

Are you looking for a change?

Do you want to collaborate with some of the best talent in the industry?

Are you ready to join a company whose passion is to really make a difference to enterprises, the community and the world?

Then you are the one that we are looking for to be part of our growing team.

Wanted: Global Innovators To Help Us Build Tomorrow's Enterprise

As a Lead Consultant, you will be **an expert contributing to different phases of the consulting lifecycle.**

You will be intensely involved in business process consulting; you will define the problem, propose and create the solution.

You will also play an important role in the development, configuration and deployment of the overall solution.

You will **guide teams on project processes, deliverables and contribute to the proposal development, client training and internal capability building and help detail the project scope.**

You will have the opportunity to shape value-adding consulting solutions that enable our clients to meet the changing needs of the global landscape.

Qualifications

Basic

- Bachelor's degree or foreign equivalent required from an accredited institution.

Will also consider three years of progressive experience in the specialty

in lieu of every year of education.

- **At least 7 years of experience with IT.**

Preferred

- **At least 7 years of experience in Business consulting**

Basel II/III Regulatory Capital and Regulatory Reporting

- **At least 3 years of experience in solutioning/implementation of risk based compliance solution like OFSAA for Basel II/III and Reporting will be an added advantage**
- **Experience in defining solution fitment and ability to drive an independent project from a Consulting stand point**
- **Analytical skills and capabilities to conduct fit gap assessment**
- **Ability to liaise with technical team to convert requirements to Technical Specification**
- **At least 2 years of experience in thought leadership, white papers and leadership/mentoring of staff and internal consulting teams**
- **Strong domain understanding in Banking Domain , experience in Risk Modelling, Certification in FRM would be added advantage**
- **Excellent Analytical and Communication skills**
- **Customer orientation and customer facing skills**
- **Ability to interact at all levels of clients' organizations**

About Us

Infosys is a global leader in consulting, technology and outsourcing solutions.

We enable clients, in more than 30 countries, to stay a step ahead of emerging business trends and outperform the competition.

We help them transform and thrive in a changing world by co-creating

breakthrough solutions that combine strategic insights and execution excellence.

Visit www.infosys.com to see how Infosys (NYSE: INFY), with US\$8.25 billion in annual revenues and 160,000+ employees, is Building Tomorrow's Enterprise® today.

Job Description 15

Title: Manager, Basel 3 Project

Standard Chartered Bank

Standard Chartered is a leading international bank operating in some of the most dynamic markets in the world, in Asia, Africa and the Middle East.

Our successful and sustainable business is built on doing tangible, long term good for our people, our customers and the communities we serve.

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Our successful and sustainable business is built on doing tangible, long term good for our people, our customers and the communities we serve.

Why Standard Chartered?

Standard Chartered is a leading international banking group committed to building a successful and sustainable future for our people, our customers and the communities we serve.

With 1,700 branches and offices in 68 markets in Asia, Africa and the Middle East, we offer exciting and challenging international career opportunities to over 89,000 employees.

Job Description

- Prepare SCBHK for the implementation of Basel III**
- Ensure the compliance of Basel III Liquidity reporting to SCB Group and HKMA after system implementation**
- Support engaging regulator on the interpretation of requirements, lobby for a practical way which suits for the industry and SCBHK.**

Key Roles & Responsibilities

- Keep track of the regulatory changes and developments in relation to**

Basel III; evaluate the business implication; work with corresponding business divisions for practical interpretations of the regulations for implementation

- Co-ordinate Basel III related consultations and ensure various stakeholders' comments are properly reflected and comments provided are consistent with Group's position**

Job Description 16

Title: Regional Basel iii Advisor, Liquidity Reporting and Group Treasury in Singapore

Our client is an established investment bank with an expanding portfolio, looking for bright talents to join them. If you have what it takes, do send us resume now!

Job Description

- **Regional Basel III Advisor (VP)**
- **Keep abreast of BASEL III and CRD IV/CR**
- **Advise business on new regulatory (BASEL III and CRD IV/CRR) impact to existing, new products' implications**
- **Provide finance advise and approvals on new products or structuring of complex transaction structures e.g. RWA and leverage ratios etc.**
- **Ensure smooth reporting and compliance of BASEL III (within Finance department)**
- **Train the business on new regulatory impact to the bank**
- **Regional Liquidity Risk/BASEL III reporting (AVP)**
- **Manage the bank's regional liquidity risk reporting/BASEL III reporting**
- **Ensure compliance of local and strategic regional regulatory liquidity/treasury limits (includes Basel III) which includes Cash flow reporting, LCR, NSFR**
- **Investigate and highlight causes of material variances in key ratios to Management**
- **Advise management and business partners on liquidity analysis impact on their liquidity reporting**
- **Adhere and make changes to policies; ensure minimal risk exposures**

Job Criteria

This role requires the incumbent to have a Degree in Accountancy, Banking and Finance (or relevant degree).

For the Regional BASEL III Advisor position, the candidate will have strong experience and knowledge in BASEL III, CRD IV/CRR and investment banking products.

Excellent communication skills is key for this role.

For the Regional Liquidity Risk/Basel III reporting position, the candidate will have at least 4 years of experience in Regional Liquidity Risk/Basel III reporting and strong knowledge in LCR and NSFR.

For the Group Treasury position, the candidate will have at least 4 years of experience in dealing with Treasury products and reporting.

Good knowledge in liquidity Risk/Basel III reporting and expertise in LCR and NSFR is key.

CPA qualification is advantageous.

The candidate should be confident to engage senior stakeholders within the company. Moreover, he/she will be independent, motivated and have good problem solving skill sets.

This is a great opportunity for the successful candidate and we look forward to meet you.

Your privacy and the privacy of others are important to us.

By providing us with personal data, including your CV or details about your referees, or others, you agree to our collection, use, and disclosure of such data to help you find a job now or in the future, and for marketing purposes. You agree you have obtained appropriate consent to provide to us data from other persons. Please read full details in our Privacy Statement.

Job Description 17

Title: Analyst

Vircon San Francisco, CA

Banking - Financial Services

Our client is looking for an Analyst to be part of their **Basel Analytics Team**.

The team is responsible for producing quarterly management reports for **Basel III, ICAAP/Economic Capital, Regulatory Schedules (FFIEC 101 FR Y-14Q), and other disclosures per Basel**.

The team is also responsible for providing responses to regulatory inquiries, studies and surveys proposed by the agencies, **ad-hoc analysis for Basel/Capital initiatives**, and participates in regulatory and audit reviews that include coverage of Basel related calculation and reporting.

Minimum Qualifications:

- Experience with **SQL and data querying**
- Familiarity with at least one of the following asset classes/areas: retail, wholesale, derivatives, repurchase agreements/margin loans, securities, and/or equities
- Strong analytical, problem solving abilities and attention to detail
- **3 years Basel or CCAR experience**

Preferred Qualifications

- **Working knowledge of Basel and/or Dodd-Frank regulations**
- Experience responding to studies/surveys proposed by the agencies
- Previous experience in FP&A, treasury, M&A, or capital markets arena
- Experience with **statistical methods and applications**
- Proven high performer who is self-directed with outstanding written and communication and oral presentation skills

Job Description 18

Title: Accounting Policy Specialist - Basel III Capital Adequacy Policies

UBS - Switzerland - Zürich

A Job description

Group Accounting and Parent Bank Controlling (GAPBC) is a Group wide function with a global presence.

- GAPBC is responsible for the preparation and analysis of underlying information in the UBS' Financial Statements, **ensuring compliance** with IFRS and other relevant requirements and aiming to achieve best in class financial reporting.
- It is also responsible for the preparation of Group and Parent Bank **regulatory filings**, including those related to capital adequacy and liquidity and funding, to our primary regulators FINMA and SNB and the establishment of internal accounting control documentation for senior management.
- As part of its external financial and regulatory scope of responsibilities, the team **coordinates the production of UBS's quarterly and annual reports**, acting as the main information channel between the firm and its many and varied stakeholders as well as performs analytical reviews at Group and Parent Bank level to ensure that disclosed financial information is materially correct.
- By ensuring that UBS's quarterly and annual reporting products as well as regulatory filings are accurate and of the highest quality, the team plays a vital role in **protecting the firm's financial reputation**, ensuring **compliance with international reporting and regulatory requirements thereby enhancing the firm's standing with its stakeholders and within the financial industry as a whole**.
- GAPBC is also responsible for the global UBS financial close process.
- It is also responsible for the implementation of significant accounting changes, capital adequacy and Swiss GAAP policy setting and Parent Bank / Swiss regional controlling including financial and management reporting.

It is one of the key objectives of the "Capital Adequacy and Swiss GAAP

Technical Accounting" team within GAPBC is to maintain a Policy framework supporting Swiss GAAP, global regulatory capital adequacy as well as liquidity reporting and to act upon regulatory requirement changes by providing tangible implementation guidance for UBS Group Finance.

Furthermore, maintaining a Policy framework may require from time to time interaction with the regulatory standard setters and participation in the development of new requirements.

The role in this team offers is to provide regulatory capital expertise to key stakeholders in UBS through a strong policy framework and also through direct support.

This role will report to the head of "Capital Adequacy and Swiss GAAP Technical Accounting" within the "Group Accounting & Controlling" unit.

Some of the key responsibilities will include:

- Support maintaining a framework of clear and concise regulatory capital policies
- Assist in the implementation of new Capital Adequacy accounting policy requirements through
 - i. Monitoring of regulatory developments,
 - ii Developing and presenting appropriate materials,
 - iii. Preparing and presenting training sessions to the Finance functions,
 - iv. Contribute views and suggestions to technical discussions and comment letter processes in order to develop the UBS view
- Follow-up on reporting issues and provide advice on a day-to-day basis to Finance and Business functions for regulatory capital reporting aspects
- Contribute to constructive dialogue and effective interaction with regulators, external auditors and other relevant internal and external stakeholders
- Analyze new trade proposals and new business initiatives to determine

the appropriate treatment from a **Capital Adequacy point of view**

- Coverage of team responsibilities which fall outside the Capital Adequacy policy framework together with other team members (e.g. Swiss GAAP)

Requirements

For our ongoing demand of qualified Financial Accountant we are looking for a candidate with the following skill set:

- Qualified accountant or comparable education resp. experience
- Flair for conceptual work, in-depth analysis of reporting requirements and transition into tangible policy guidance
- **Very good understanding of accounting and regulatory treatment of investment and corporate banking products**
- Skills for effective communication and relationship management with various stakeholders on different management levels in project and line organization
- Good understanding of key risk remediation processes in banking such as netting, collateral management and hedging would be of advantage

Additional assets:

- Work experience in an **accounting function in the financial services industry would be an advantage**
- Proactive and flexible personality with ability to complete multiple tasks under tight deadlines
- Excellent organizational and communication skills
- Ability to prioritize own workload on a daily basis
- Professional and positive attitude
- **Preference for working in an international environment**

Our Offering

UBS can offer you an environment geared towards performance, attractive career opportunities, and an open corporate culture that values and rewards the contribution of every individual.

Disclaimer / Policy Statements

UBS is an Equal Opportunity Employer.

We respect and seek to empower each individual and support the diverse cultures, perspectives, skills and experiences within our workforce

About UBS

We draw on our 150-year heritage to serve private, institutional and corporate clients worldwide, as well as retail clients in Switzerland.

Our business strategy is centered on our pre-eminent global wealth management businesses and our leading universal bank in Switzerland. Together with a client-focused Investment Bank and a strong, well-diversified Global Asset Management business, we will expand our premier wealth management franchise and drive further growth across the Group.

Headquartered in Zurich and Basel, Switzerland, UBS is present in all major financial centers worldwide and employs about 60,000 people around the world.

It has offices in more than 50 countries, with about 35% of its employees working in the Americas, 36% in Switzerland, 17% in the rest of Europe, the Middle East and Africa and 12% in Asia Pacific.

Job Description 19

Title: Senior Java Software Developer

Global Financial Markets Technology firm

San Francisco, USA

Salary: \$145,000 - \$160,000 + bonus + benefits

A highly reputable and Global Financial Markets Technology firm, serving banks and private institutions across asset classes is currently looking to **add a strong Java Software Engineer to join their Treasury and Liquidity team.**

Their Java based solution system facilitates treasury operations that include **management of liquidity risk, cash and security inventory reporting, regulatory compliance, funding, and cost control.**

The job requires someone with a deep understanding of Java software design and Java programming skills, as well as an understanding of the capital markets industry and ideally some exposure to Treasury systems.

Qualifications:

- 7+ years of development experience in Java, excellent object-oriented analysis, design & development skills**
- Excellent problem solving and analytic skills.**
- Experience with Basel II/III, securities processing, treasury operations, transfer pricing, cash management**
- Strong communication and the ability to work well in a small team, and experience with software tools such as Eclipse and SVN**
- BS or higher in computer science or related**

A leading provider of cross-asset front-to-back technology solutions for financial markets, providing customers with a platform for consolidation, innovation and growth. They have been delivering software and services for trading, risk management, processing and accounting. Their software is used by 34,000 market professionals in over 60 countries, representing 180 financial institutions.

Job Description 20

Title: Finance - US Finance Change Management - Regulatory Capital

New York, USA

Salary: \$550 - \$650 per day

Duration: Temp

The individual is responsible for supporting US Finance Change Management initiatives across Finance US focusing on Regulatory Capital automation efforts

Working directly with Finance teams to determine the Credit and Market Risk Regulatory Capital business requirements and prioritizing them for change

Developing future state business processes as well as the required activities to achieve the target end state for end state for reporting under US Federal Reserve Regulatory Capital Rules

2+ years of experience working with one or more of the following Regulatory areas: Basel II & III, CCAR or Dodd Frank

2+ years of working knowledge of key Credit and Market Risk Regulatory Capital reporting requirements and calculations

The Basel iii Compliance Professionals Association (BiiiCPA)

The Basel iii Compliance Professionals Association (BiiiCPA) is the largest association of Basel iii Professionals in the world. It is a business unit of the Basel ii Compliance Professionals Association (BCPA), which is the largest association of Basel ii Professionals in the world.

1. Membership – Become a **standard, premium or lifetime** member.

You may visit:

www.basel-iii-association.com/How_to_become_member.htm

2. Monthly Updates - Subscribe to receive (at no cost) Basel II / Basel III related alerts, opportunities, updates and our monthly newsletter:

<http://forms.aweber.com/form/42/1586130642.htm>

3. Training and Certification - Become a Certified Basel iii Professional (CBiiiPro). You must follow the steps described at:

www.basel-iii-association.com/Basel_III_Distance_Learning_Online_Certification.html

Become a Capital Requirements Directive IV / Capital Requirements Regulation Professional (CRDIV/CRR/Pro). You may visit:

www.basel-iii-association.com/CRD_IV_Distance_Learning_Online_Certification.html

For **instructor-led** training, you may contact us. We can **tailor** all programs to your needs.

We tailor presentations, awareness and training programs for supervisors, boards of directors, service providers and consultants.

4. Authorized Certified Trainer, Certified Basel iii Professional Trainer Program (BiiiCPA-ACT / CBiiiProT)

- Become an ACT. This is an additional advantage on your resume, serving as a third-party endorsement to your knowledge and experience. Certificates are important when being considered for a promotion or other career opportunities. You give the necessary assurance that you have the knowledge and skills to accept more responsibility.



To learn more you may visit:

www.basel-iii-association.com/BiiiCPA_ACT.html

5. Approved Training and Certification Centers (BiiiCPA-ATCCs) - In response to the increasing demand for Basel III training, the Basel iii Compliance Professionals Association (BiiiCPA) is developing a world-wide network of Approved Training and Certification Centers (BiiiCPA-ATCCs).



This will give the opportunity to risk and compliance managers, officers and consultants to [have access to instructor-led Basel III training at convenient locations that meet international standards.](#)

ATCCs deliver high quality training courses, using the BiiiCPA approved course materials and having access to BiiiCPA Authorized Certified Trainers (BiiiCPA-ACTs).

To learn more:

www.basel-iii-association.com/Approved_Centers.html



BiiiCPA

[Basel iii Compliance Professionals Association \(BiiiCPA\)](#)

HQ: 1220 N. Market Street Suite 804, Wilmington, DE 19801, USA

Mail: 1200 G Street NW Suite 800, Washington, DC 20005, USA

Lyn Spooner: (302) 342-8828

Email : lyn@basel-iii-association.com

George Lekatis: (202) 449-9750

Email: lekatis@basel-iii-association.com