

Basel iii Compliance Professionals Association (BiiiCPA)
1200 G Street NW Suite 800 Washington, DC 20005-6705 USA
Tel: 202-449-9750 Web: www.basel-iii-association.com

Questions About the Certification Programs and the Exams

1. What is the Basel iii Compliance Professionals Association (BiiiCPA)?

The BiiiCPA is the largest association of Basel iii professionals in the world.

It is a business unit of the Basel ii Compliance Professionals Association (BCPA) which is the largest association of Basel ii professionals in the world.

Both Associations belong to Compliance LLC, a leading provider of risk and compliance training and executive coaching in more than 36 countries.

To contact us:

Compliance LLC
1200 G Street NW Suite 800
Washington, DC
20005 USA
Tel: 202-449-9750
Web: www.basel-iii-association.com
HQ: 1220 N. Market Street Suite 804, Wilmington DE 19801 USA
Tel: 302-342-8828 Web: www.compliance-llc.com

Our association has the mission to promote best practices for the implementation of the Basel iii framework around the world, by developing and administering certification and training programs in an objective and reliable manner that brings value to the industry.

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2. What Certificates does the Association offer?

The following:

A. Certified Basel iii Professional (CBiiiPro)



B. Certified Pillar 2 Expert - Basel 3 (CP2E-B3)



C. Certified Pillar 3 Expert - Basel 3 (CP3E-B3)



D. Certified Stress Testing Expert - Basel 3 (CSTE-B3)



3. Which are the benefits?

The certificates offered by the Basel iii Compliance Professionals Association (BiiiCPA) can benefit employees, consultants and organizations

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Benefits for Employees and Consultants

1. **More money:** Several recent salary surveys reveal the power of certification to boost income. All these surveys show clearly that **certified professionals earn more money**.
2. **Better position:** Certificates are important when you are considered for a promotion or other career opportunities. Certificates give the necessary assurance to organizations that you have the knowledge and skills to accept more responsibility, and you are **“fit and proper”**, an important requirement in the Basel III framework for senior management and all professionals that work in risk, compliance and audit.
3. **Better job:** It will be easier to move into a more desirable position. Certificates can differentiate yourself from your competitors.
4. **Establishes professional credentials:** Certificates are clear advantages on your resume, serving as a third-party endorsement to your knowledge and experience. Certification programs and training listed on your resume demonstrates your ability and your desire to stay current.

Benefits for Organizations

1. **Independent evidence:** Certificates serve as independent evidence that you have the skills required to understand and support risk and compliance projects and you are **“fit and proper”**.
2. **Job satisfaction:** Certified employees are more satisfied and more productive than their non-certified counterparts.
3. **Due care and due diligence:** Certified professionals will greatly assist employers in being able to construct a viable risk and compliance program, and to prove that they exercise due care and due diligence.

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4. How comprehensive are the slides? Are they just bullet points?

The slides are not just bullet points. You can read, understand and learn. These are the official presentations we use in our instructor led classes.

5. Do I need to buy books to pass the exam?

No. If you study the slides carefully, you can pass the exam. If you fail, it is an opportunity to study these presentations more. Print the slides and use Post-it to attach notes like "Basel iii Liquidity" "Basel iii Auditors" etc. to know where to find the answers.

6. Is it an open book exam? Why?

Yes, it is an open book exam. Basel III, risk and compliance management is not something you have to memorize, it is something you have to understand.

7. How long is each exam?

You have 90 minutes to complete a 35 question multiple-choice exam.

8. What score do I need to pass each exam?

You must score 70% or higher.

9. Do I have to sit for the exam soon after receiving the presentations?

No. You can sit for the exam from your office or home any time in the future. We will create an online account that never expires.

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10. Do I have to spend more money in the future to remain certified? Does the certification lose its value after some time?

No. Your certificate never expires. It will be valid without the need to spend money or to sit for another exam in the future.

11. Ok, the certificate never expires, but things change...

If a university degree never expires, why should our certificates expire? Yes, things change, and this is the reason you need to become a member of the Association. Membership is free. You will receive a monthly newsletter with updates, alerts and opportunities to remain current.

12. How many hours do I need to study in order to pass the exam?

It depends on your knowledge and experience. You must study the presentations carefully. You must go through the slides at least 2 times, and you must learn the details. We strongly believe that you will find the course interesting and valuable for your career.

13. Are the training courses and the certificates vendor neutral?

Yes. We do not promote any products or services.

14. Why should I be certified?

After the failures of so many organizations during the market crisis, and the risk that shareholders may sue senior management and the board of directors for gross negligence, firms and organizations hire only professionals that can provide independent evidence that they are qualified.

Organizations need assurance that employees have the knowledge and skills to accept more responsibility. Supervisors and external auditors

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CBiiiPro



CP2E-B3



CP3E - B3



CSTE-B3

ask for independent evidence that the process owners are qualified, and that the controls can operate as designed because the persons responsible for these controls have the necessary knowledge.

The marketplace is clearly demanding qualified professionals in risk and compliance management. Certified professionals enjoy industry recognition, have more and better job opportunities, secure the best jobs, and make more money. They are more satisfied as they enjoy much more benefits for spending their time for somebody else.

It is important to be certified and to belong to professional associations. You prove that you are somebody who cares, learns, and belongs to a global community of professionals.

15. Why should I choose your certification program?

It is always wise to investigate first. You could search for other Basel III certificates in the web.

16. I have more questions.

You may send an email to Lyn Spooner. She will email you the answers, so you will have clear **written answers** to your questions.

Lyn Spooner

Email: lyn@basel-iii-association.com

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